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A Logical Theory of Verb Phrase Deletion

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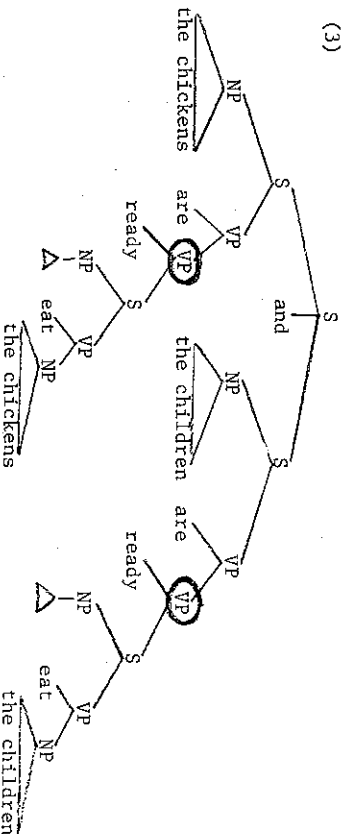
It's been known for some time that mere identity of terminal strings is insufficient to guarantee recoverability of deletion. Lees (1960), for instance, cited examples like (1) to show that in order for deletion to apply, the deletion target and the deletion trigger must have identical constituent structure. (Lees actually argued for the stronger condition of identity of derivational history).

- (1) *Drowning cats, which is against the law, are hard to rescue.

Similar arguments can be found scattered throughout the transformational literature of the last decade or so (see for instance Chomsky (1965, 1968), Ross (1967), Lakoff (1968), and Hankamer (1971)). Ross and Lakoff both speculate that the necessary condition on deletion is identity of underlying structure. The standard examples are those in (2) (all involving Verb Phrase Deletion (VPD)) which are two, not four ways ambiguous.

- (2) (a) John likes flying planes, and Bill does too.
 (b) Betsy divulged when Bill promised to call me, and Sandy did, too.
 (c) The chickens are ready to eat, and the children are too.

Now Lakoff discusses (2)(c) in some detail. He notes in fact that on the "ready to be eaten" reading, (2)(c) presents a problem for the theory of identity of underlying structure as a necessary condition for deletion. The problem, fort court, is that on the standard view of these sentences, the trigger and target VP's correspond to non-identical entities in underlying structure. In an Aspects-type theory, for instance (which Lakoff was working on at the time), the underlying structure of (2)(c) on this "object-deletion" reading, would be as in (3).



circled VP's are non-identical (in fact no VP's are identical). Lakoff suggested that "items that do not appear in the derived structure are completely irrelevant to the question of linguistically efficient identity". This suggestion, though hardly explanatory, conceivably handle the following cases as well.

- (4) (a) Paul was hassled by the police, and Norma was too.
 (b) Betsy seems to me to be unhappy, and Sandy does too.
 (c) Peter is easy to talk to, and Betsy is too.

It's interesting to note, however, that Lakoff's suggestion fails to account for why these next examples are ungrammatical.

- (5) (a) *The steak is ready to eat, and the chicken is ready to, also.
 (b) *Peter is easy to talk to, and Betsy is easy to, also.

to have the same underlying structure and the same structure immediately prior to deletion (in all relevant respects) as (2) (c) and (3), which are legitimate instances of VPD. Why should it be possible to delete the "higher" VP and not the embedded one, when the necessary identity holds between all the VP's in question. Note that on the RQVI reading, similar sentences with ready to are fully acceptable:

- (6) Peter is ready to give up, and Betsy { (a) is } also.
 (b) is ready to }

return to this curious state of affairs in a moment, but some remarks are in order first.

In Lakoff (1970), the claim is made that VP-Deletability is a test for true ambiguity, rather than "vagueness" of meaning (see Sadock and Key (1973) for further discussion of this matter). Now for reasons I'll mention later, it's not completely clear that the level where appropriate identity for deletion is determined is the same level as such matters as logical consequence are determinable (Lakoff's λ would appear to be tantamount to this). Nevertheless I would like argue that there is a level of logical form (I mean level in the sense of Chomsky (1955)) where the applicability of deletion rules is defined. Moreover, I would like to suggest that logical forms are less "abstract" than is frequently claimed, especially, say, by proponents of Generative Semantics.

By this I mean that the logical form of a sentence like "Betsy's Peter" should not be as in (7) (many details omitted), as many philosophers and linguists (especially following McCawley (1970)) have, but rather

- (7) LOVE (BETSY, PETER)

to express the grammatical relation of subject-predicate overtly, it work in the framework of Montague Grammar, it seems, to me,

(Montague (1974), Partee (1975), Thomason (1974)), has come much closer to positing the kind of logical forms that will allow us to give an adequate account of VPD (though I will not commit myself here to Montague's "proper treatment" of quantification).

The crucial device whose credibility I would like to establish (from the point of view of capturing linguistically significant generalizations) is the λ -calculus (Church (1941)). Suppose, essentially along with Montague, that every surface verb phrase corresponds to a λ -predicate in logical form. The logical form of "Betsy loves Peter" we will write as in (8)¹

- (8) Betsy, $\lambda x(\text{love}(x, \text{Peter}))$ or simply
 Betsy, $\lambda x(x \text{ love Peter})$

Very roughly, this is to be thought of intuitively as predicating a property of Betsy, namely, the property of loving Peter.

Now the λ -calculus allows us to do many things (this is hardly one of its virtues). One very nice feature of the λ -calculus however, is that it allows us to assign to a quantifier what is essentially VP-scope. The preferred reading of "someone loves everyone," then, we will write as (9)

- (9) (Ex) $(x, \lambda y((\forall z)(y \text{ loves } z)))$

(intuitively, there exists some x, such that x has the property of loving everyone). Further speculations about the nature of logical forms will be offered in what follows.

One more notion that must be brought to the fore before proceeding is the standard notion of "alphabetic variance". Intuitively, two λ -expressions are alphabetic variants, if they differ only with regard to variable letters. The notion is not quite this simple, however. For two λ -expressions, $\lambda x(A)$ and $\lambda y(B)$, to be alphabetic variants, every occurrence of x in A must have a corresponding instance of y in B, and vice versa. Also, any quantifier in A that binds variables in A must have a corresponding (identical) quantifier in B that binds variables in all the corresponding positions in B. However, if there are any variables in A that are bound by some quantifier outside of $\lambda x(A)$, then the corresponding variable in $\lambda y(B)$ must be bound by the same operator in order for alphabetic variance to obtain ($\lambda x(\dots)$ and $\lambda y(\dots)$ are alphabetic variants in $(\forall z)(\text{John}, \lambda x(x \text{ loves } z))$ & $[\text{Bill}, \lambda y(y \text{ loves } z)]$). Crucially, if $\lambda x(A)$ contains a variable bound outside of $\lambda x(A)$ (for instance, z in $(\forall z)(\text{John}, \lambda x(x \text{ loves } z))$ and $\lambda y(B)$ contains a corresponding variable bound outside of $\lambda y(B)$ (even one bound by an analogous operator, for instance, w in $(\forall w)(\text{John}, \lambda y(y \text{ loves } w))$) the two λ -expressions are not alphabetic variants (though here the universally quantified expressions, considered as a whole, would be).²

By way of illustration, the following pairs of λ -expressions are alphabetic variants.

- (10) (a) $\lambda x(x \text{ is happy}) = \lambda y(y \text{ is happy})$
 (b) $\lambda w(w \text{ loves John}) = \lambda z(z \text{ loves John})$
 (c) $\lambda w((\forall y)(w \text{ likes } y)) = \lambda z((\forall q)(z \text{ likes } q))$
 (d) $\lambda w((\exists z)(w \text{ ate } z)) = \lambda q((\exists r)(q \text{ ate } r))$
 (e) $\lambda x(x \text{ said}(Mary, \lambda y(y \text{ likes } x)))$
 $= \lambda z(z \text{ said}(Mary, \lambda w(w \text{ likes } z)))$
 (f) $\lambda x(x \text{ loves } y) = \lambda z(z \text{ loves } y)$ as in
 $(\forall y)([John, \lambda x(x \text{ loves } y)] \& [Bill, \lambda z(z \text{ loves } y)])$

resly, the pairs of λ -expressions in (10) are not alphabetic
 nts.

- (11) (a) $\lambda x(x \text{ is happy}) \neq \lambda y(y \text{ is sad})$
 (b) $\lambda w(w \text{ loves John}) \neq \lambda z(z \text{ loves Mary})$
 (c) $\lambda x(x \text{ likes } y) \neq \lambda w(w \text{ likes } z)$, as in
 $(\exists y)(John, \lambda x(x \text{ likes } y)) \& (\forall z)(Bill, \lambda w(w \text{ likes } z))$, or in
 $John, \lambda y(y \text{ said}(Mary, \lambda x(x \text{ likes } y))) \&$
 $Bill, \lambda z(z \text{ said}(Mary, \lambda w(w \text{ likes } z)))$

Now, assuming that logical forms bear a very close relation to the
 ce syntax (i.e. given that there is at the very least a definable
 ;spndence between surface verb phrases and λ -expressions), we have
 necessary apparatus to account for the intuition of McGawley (1967),
 riles...

The only way I know of stating this transformation [=VPD-I.A.S.] is
 to say that the deletion may take place only in a structure whose
 semantic representation is of the form $f(x_1) \& f(x_2)$ "³
 ar the following formulation of VPD.

- (12) With respect to a sentence S, VPD can delete any VP in S⁴
 whose representation at the level of logical form is a
 λ -expression that is an alphabetic variant of another
 λ -expression present in the logical form of S or in the
 logical form of some other sentence S', which precedes
 S in discourse.

In many cases, this theory makes the same predictions as a purely
 ictic theory. Sentences like the following one, for instance,
 e the possibility of deletion would be guaranteed by any purely
 ictic theory) is a possible VPD environment because of its logical
 which is as indicated.

- (13) Peter loves Betsy, and Sandy {Loves Betsy} too.
 does \emptyset
 (13)' Peter, $\lambda x(x \text{ love Betsy}) \& Sandy, \lambda y(y \text{ love Betsy})$
 3)', $\lambda x(\dots)$ and $\lambda y(\dots)$ are alphabetic variants. This captures
 y the intuition that (13) is "saying the same thing" about Peter
 andy, which is essentially McGawley's intuition.

Our theory makes some rather novel predictions also, many of which
 have escaped notice in the literature. The well known ambiguity of a
 sentence like "someone hit everyone" for instance, is accounted for by
 assigning it the two logical representations in (14)

- (14) (a) $(\exists x, \lambda y((\forall z)(y \text{ hit } z)))$
 (b) $(\forall z)(\exists x, \lambda w(w \text{ hit } z))$

Now a sentence like "Bill hit everyone" will be assigned only one
 logical form, that in (15), because there is no scopal variation pos-
 sible when only one quantifier word is present.

- (15) Bill, $\lambda q((\forall p)(q \text{ hit } p))$

We therefore predict that in a sentence like the following one, the left
 conjunct is disambiguated.

- (16) Someone hit everyone, and then Bill did.

That is, the left conjunct in (16) can be interpreted only as in (14)(a),
 where the existential quantifier has wide scope. $\lambda y(\dots)$ in (14)(a) is
 an alphabetic variant of $\lambda q(\dots)$ in (15). Deletion is impossible if
 the left conjunct of (16) is interpreted as in (14)(b) because the only
 λ -expression there $\lambda w(\dots)$ is not an alphabetic variant of $\lambda q(\dots)$
 in (15). This prediction seems to be correct. (16) allows only the
 interpretation where the existential quantifier has wide scope in the
 left conjunct.

Consider now (17).

- (17) Betsy greeted everyone when Sandy did.

(17) has two readings, one which would be true, say, if Betsy and Sandy
 walked into a room full of people and said "Hello everybody" in two-part
 harmony. (17) on this reading is derivable from (18) whose logical form
 is as indicated (details omitted, especially a precise treatment of when)

- (18) Betsy greeted everyone when Sandy greeted everyone.

- (18)' (a) [Betsy, $\lambda x((\forall y)(x \text{ greet } y))$] when [Sandy, $\lambda w((\forall z)(w \text{ greet } z))$]
 or perhaps,
 (b) Betsy, $\lambda r([r, \lambda x((\forall y)(x \text{ greet } y))$] when [Sandy,
 $\lambda w((\forall z)(w \text{ greet } z))$]]

In either formula, $\lambda x(\dots)$ and $\lambda w(\dots)$ are alphabetic variants.
 Another reading of (17) is one it shares with (19).

- (19) Betsy greeted everyone when Sandy greeted {them }
 % him

Notice that (18) does not have this reading, and further that in (19),
 no two VP's are syntactically identical. Our claim is that logical,

than syntactic identity is what determines deletability, (17) can be derived from (19) because in its logical form, (in either rendition), $\lambda y(\dots)$ and $\lambda w(\dots)$ are alphabetic variants.

- (9)' (a) $(\lambda x)([\text{Betsy}, \lambda y(y \text{ greet } x)] \text{ when } [\text{Sandy}, \lambda w(w \text{ greet } x)])$
 or
 (b) $\text{Betsy}, \lambda q((\lambda x)([\text{q}, \lambda y(y \text{ greet } x)] \text{ when } [\text{Sandy}, \lambda w(w \text{ greet } x)]))$
- Our theory is able to account for the ambiguity of (17), which, in syntactic identity theory, is derivable only from (18).
- We consider the following discourse.

- (10) (a) Speaker A: What was Harry able to take a picture of?
 (b) Speaker B: A Gnu.
 (c) Speaker A: *What was Tom \emptyset ?
 [\emptyset = able to take a picture of].

Grammaticality of (20)(c) follows from our theory given the assumption that wh-words in questions are to be treated on a par with operators, i.e. given the assumption that wh-words bind variables (cf. (1966)), and many later references). That is, (20)(a) and (c) have logical forms roughly as follows:

- (20) (a)' (for what x) (John, $\lambda y(y \text{ was able to take a picture of } x)$)
 (b) (for what z) (Tom, $\lambda w(w \text{ was able to take a picture of } z)$)

and $\lambda y(\dots)$ are not alphabetic variants, because they each contain es bound by different outside operators. (x and z are bound by nt wh operators--see the preceding discussion) Similar behavior can be observed with pseudo-clefts, whose logical form might represent using Russell's iota operator (again we omit details, including a proper treatment of tense).

- (21) *What Betsy saw was Topkapi, and what Peter did \emptyset was South Pacific
 [\emptyset = see]
 (21)' $\lambda x(\text{Betsy}, \lambda y(y \text{ see } x)) = \text{Topkapi} \ \&$
 $\lambda z(\text{Peter}, \lambda w(w \text{ see } z)) = \text{South Pacific}$

and $\lambda y(\dots)$ are also not alphabetic variants because of the binding of the variables x and z contained within them. The in in (21) is therefore predicted to be impossible by our theory. Moreover, this example should be compared with the following one, in which deletion is in fact possible.

- (22) What Betsy tried to see, but couldn't, is Topkapi.
 (22)' $\lambda x([\text{Betsy}, \lambda y(y \text{ tried } (y, \lambda r(r \text{ see } x)))] \text{ but } \neg \text{COULD } [\text{Betsy}, \lambda z(z \text{ see } x)]) = \text{Topkapi}$

Logical form of (22)', $\lambda z(\dots)$ and $\lambda r(\dots)$ are indeed alphabetic

variants, for they each contain a variable, i.e. x , bound by the same outside operator (1). Crucially, the last three examples have all involved constraints on the deletion of syntactically identical VP's. Our theory seems to be able to sort out precisely which ones are deletable, and which are not, in a way that no purely syntactic theory is able to.

We are now ready to return to the ready sentences we observed at the outset. The standard view of the derivation of sentences like "the steak is ready to eat" is essentially Lakoff's (see also Hankamer (1971)). That view is that these sentences are derived by deletion under identity from structures like the one we saw earlier (cf. (3) above).

Now there is something wrong with this view. Consider a sentence like (23). The source for this sentence would be identical to the one

- (23) The steak which Harry sold to Sue is ready to eat.

underlying (24), whose derivation differs from that of (23) only in

- (24) The steak which was sold to Sue by Harry is ready to eat.

That optional cyclic rules have applied. But if that source contains an identical NP as the underlying object of eat, what is to prevent optional cyclic rules from applying to the second relative clause, but not to the first one, creating non-identity at the level when the deletion rule is to apply? The result will be ungrammatical sequences like the following:⁵

- (25) *The steak which Harry sold to Sue is ready to eat the steak which was sold to Sue by Harry.

One solution to this dilemma might be to treat the target of such deletion rules as a pronominal element. We might further speculate that such pronominal elements are always to be treated as bound variables, a speculation which receives further support from the existence of sentences like this next one:

- (26) Everything is ready to eat.

That is, we would not want to derive this sentence from an underlying structure with two everything's, for we would not be able to capture the fact that the object of eat is to be treated logically as a bound variable (the argument is analogous to the by now standard arguments regarding EQUI).

Now the fact that the object of eat in such sentences must be interpreted as a bound variable is certainly a property of the ready class of predicates. We might therefore require the λ of the ready predicate also to bind the position of the embedded object pronoun. Ready for John to eat would then correspond to the following λ -predicate.

- (27) $\lambda x(x \text{ is ready for } [\text{John}, \lambda y(y \text{ eat } x)])$

ing Δ represent an unspecified subject, ready to eat would correspond to this λ -predicate:

(28) $\lambda x(x \text{ is ready for } [\Delta, \lambda y(y \text{ eat } x)])$

We are now ready to explain the ready deletion facts we observed in (29). (29) would be assigned a logical form like that in (29)' where "object-deletion" reading).

(29) The steak is ready to eat, and the chicken is ready to eat also.

(29)' The steak, $\lambda x(x \text{ is ready for } [\Delta, \lambda y(y \text{ eat } x)])$ & the chicken, $\lambda w(w \text{ is ready for } [\Delta, \lambda z(z \text{ eat } w)])$.

'ready to eat' in the second conjunct of (29) corresponds to (29)' in (29)', which is an alphabetic variant of $\lambda x(\dots)$. That therefore deletable. The embedded VP: eat, on the other hand, corresponds to $\lambda z(\dots)$, which has no alphabetic variant in (29)'. The only reasonable candidate, has \bar{x} where $\lambda z(\dots)$ has w . Note only the higher VP is deletable in (29). This explains the list we noted above between (2)(c) and *(5)(b).⁶ Notice that since we have dispensed with a syntactic identity element on the rule of VPD, having relegated the recoverability condition to our theory of logical form, we can write VPD simply as (30). Arguments that AUX must be mentioned in the SD of the rule

(30) X - AUX - VP - Y

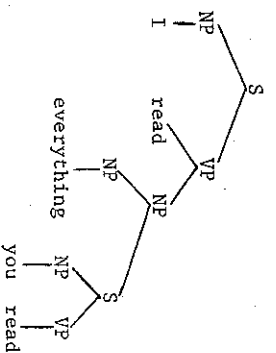
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found in Bresnan (1976a) and Sag (forthcoming). We might further resize that all deletion rules that can apply in discourse (see Hankamer (1976) for a survey of these rules) are like (30) in mentioning the deletion trigger. This formulation of the rule allows us to account for the extremely dramatic examples noted by Bouton (1970). Bouton observed that VPD apply in sentences like the following, where the target VP is contained within the antecedent VP.

(31) I read everything you {read did \emptyset }.

Problem of course is that the standard formulation of VPD (whose something like: X - VP - Y - VP - Z) cannot apply to sentences like this, whose structure is something like that in (32) (after (vization)).

(32)



Notice, however, that these sentences will have a logical form something like the following (where $\lambda y:you, \lambda r(r \text{ read } y)$ represents a restricted quantifier. An alternative analysis is possible using conditionals):

(32)' I, $\lambda x((\lambda y:you, \lambda r(r \text{ read } y)) [x, \lambda z(z \text{ read } y)])$

Rule (30) can apply to (32). The deletion will be recoverable because in (32)', $\lambda z(\dots)$ and $\lambda r(\dots)$ are alphabetic variants. Sentences like this have some further interesting properties. Consider the ambiguity of (33) for instance.

(33) Betsy wants Peter to read everything Alan wants him to read.

This sentence has an opaque reading which is paraphrased as: what Betsy wants is for Peter to read everything Alan wants him to read. The other, transparent reading of (33) might be paraphrased (rather crudely) as: everything that Alan wants Peter to read is also such that Betsy wants Peter to read it. Now we might represent this ambiguity as two different scopes of the universal quantifier. The opaque reading would be something like (34), and the transparent reading, as in (35).

(34) Betsy, $\lambda x(x \text{ want } [(\lambda y:Alan, \lambda z(z \text{ want } [Peter, \lambda w(w \text{ read } y))]) [Peter, \lambda q(q \text{ read } y))])$

(35) Betsy, $\lambda x((\lambda y:Alan, \lambda z(z \text{ want } [Peter, \lambda w(w \text{ read } y))]) [x, \lambda r(r \text{ want } [Peter, \lambda q(q \text{ read } y))])$

The decision to represent this ambiguity scopally is the right one, I would claim, because it accounts for the fact that (36), which is the result of applying VPD to the VP: wants him to read in (33), has only the transparent reading.⁷

(36) Betsy wants Peter to read everything that Alan does.

Why is this so? Because the deleted VP corresponds to $\lambda z(\dots)$ in both (34) and (35), but only in (35), the representation for the transparent reading, does $\lambda z(\dots)$ have an alphabetic variant (i.e. $\lambda r(\dots)$).

In this paper I have proposed a theory of VPD and sketched an account of a theory of logical form that I think should go with it. I have seen cases where a sentence loses one of its readings after as applied, and cases where deleted sentences seem to gain readings that their sources (in a purely syntactic deletion theory) do not have. The conclusion then at the very least is that overt deletion is neither a necessary nor a sufficient condition for deletion. I have claimed that these deletion facts provide evidence for a very surfacic and relatively compositional view of logical form. This is not to say that an explanation for the facts in this paper could not be found in a more abstract theory of logical form. I am only claiming that a coherent account of the facts given in a theory of the sort that I have sketched and that not at all obvious what an alternative account could look like in a more abstract framework.⁸

Let me conclude with two observations. First, if my hypothesis of logical form is correct, and if the logical form of a sentence can be sufficient to determine its logical consequences, examples like the following (which was discovered with the aid of Nunberg) are rather troublesome.

- (46) They caned a student severely when I was a child, but not like Miss Grundy did \emptyset yesterday.
[\emptyset = came a student]

The first clause can be interpreted generically at the same time as the deleted indefinite NP has a specific interpretation.⁹ But the distinction between generic and specific interpretations of sentences is clearly relevant for determining logical consequences. Not at present know how to reconcile facts like this with the way I have presented except to say that indefinite NP's are not sent scopally at the level of logical form. Finally, the commonly held position that transparent versus opaque understandings of sentences containing proper names and definite NPs should be treated as scope differences in logical form position is essentially due to Russell (1905) and would seem to be consistent with our theory. Assuming the correctness of that we would expect, on the transparent readings, only the deletions in (47)(a) and (48)(b) (where the higher VP has been deleted) and not in (47)(b) and (48)(b) (where the embedded VP has been deleted).¹⁰

- (47)(a) Alan wanted to talk to Betsy. Peter did also.
(b) Alan wanted to talk to Betsy. Peter wanted to also.
(48)(a) Alan wanted to talk to the tallest man in Chicago. Betsy did also.
(b) Alan wanted to talk to the tallest man in Chicago. Betsy wanted to also.

our examples, however, seem perfectly acceptable.

FOOTNOTES

*This paper is an attempt to summarize the main points made in Chapter Two of my forthcoming doctoral dissertation. All matters discussed here are treated in more detail there. My research has been supported in part by a grant from The National Institute of Mental Health (5 P01 MH13390-09) to M.I.T. I have had many helpful discussions with Barbara Abbott, Noam Chomsky, Ken Hale, Larry Horn, Hans Kamp, Susumu Kuno, Geoff Nunberg, and Hal Ross. I am also indebted to Barbara Parlee, whose 1974 Linguistic Institute course in Montague Grammar is probably what provided the starting point for all my thinking on these matters. Many of the facts observed here, and some of the proposed explanations for them, have been discovered independently by Edwin Williams, who draws different conclusions from them.

1. Unlike standard λ -calculus, I write arguments before their λ -predicates. I think there are some good reasons for this, actually (see Sag (forthcoming)), but I will not develop those here.

Note further that since every surface VP corresponds to a λ -predicate, corresponding active and passive sentences will have different logical forms. These will be related either by logical equivalence (see for instance Bresnan (1976b)) or else by meaning postulate (as Thomason has suggested).

2. For a more formal discussion of this notion see van Fraassen (1971, pp. 102-104), Hughes and Cresswell (1968), and Kalish and Montague (1964). λ Functions just like \bar{Y} or \bar{E} , with respect to alphabetic variance.

3. Keenan (1971) has a similar intuition.

4. Subject to the backwards anaphora constraint, of course. See the discussion in Sag and Hankamer (1976).

5. This type of argument was pointed out to me by Geoff Pullum, who attributes it to Michael Brame.

6. On the EQUI reading, of course, there is no bound variable in the object position of the embedded VP. Therefore either the higher VP or the lower VP is deletable.

7. (36) has another reading, which is unproblematic, namely, the one it shares with (4').

(4') Betsy wants Peter to read everything that Alan reads.

8. Notice for instance that lexical decomposition in general wreaks havoc with our theory:

(4'') *John melted the copper, and the tin did \emptyset , too.
[\emptyset = melted]

9. Kuno (1974) observes similar cases with specific vs. non-specific indefinite NP's.

This problem could be solved within a scopal theory only if we had proper names and definite descriptions to have scope over than one sentence in discourse. (47), for instance, would be problematic if the logical form of the two sentences in discourse is).

- (i) (Betsy-x) ([John, λy(y want (y, λz(z talk to x)))]).
[Peter, λw(w want (w, λs(s talk to x)))].

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